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Report on the activities of the Office of Internal Oversight Services

Report on the activities of the Office of Internal Oversight Services for the period from 1 July 2016 to 30 June 2017

Addendum

Summary

The present addendum provides information about the status of implementation of recommendations issued by the Office of Internal Oversight Services (OIOS) during the period from 1 July 2016 to 30 June 2017. It includes an overall assessment of the implementation of recommendations, a breakdown of open recommendations, a list of critical recommendations the implementation of which is overdue, recommendations with financial implications and a list of the oversight reports issued during the reporting period. An overview of the non-peacekeeping activities of OIOS during the period is provided in document A/72/330 (Part I).

* A/72/150.

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Abbreviations and acronyms

AMISOM	African Union Mission in Somalia
CAAC	Office of the Special Representative of the Secretary-General for Children and Armed Conflict
DESA	Department of Economic and Social Affairs
DFS	Department of Field Support
DGACM	Department for General Assembly and Conference Management
DM	Department of Management
DPA	Department of Political Affairs
DPI	Department of Public Information
DPKO	Department of Peacekeeping Operations
DSS	Department of Safety and Security
ECA	Economic Commission for Africa
ECE	Economic Commission for Europe
ECLAC	Economic Commission for Latin America and the Caribbean
EOSG	Executive Office of the Secretary-General
ESCAP	Economic and Social Commission for Asia and the Pacific
ESCWA	Economic and Social Commission for Western Asia
ICTR	International Criminal Tribunal for Rwanda
ICTY	International Tribunal for the Former Yugoslavia
IMIS	Integrated Management Information System
ISDR	International Strategy for Disaster Reduction
ITC	International Trade Centre
MICT	International Residual Mechanism for Criminal Tribunals
MINUSCA	United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic
MINUSMA	United Nations Multidimensional Integrated Stabilization Mission in Mali
MINUSTAH	United Nations Stabilization Mission in Haiti
MONUSCO	United Nations Organization Stabilization Mission in the Democratic Republic of the Congo
OCHA	Office for the Coordination of Humanitarian Affairs
ODA	Office for Disarmament Affairs

OHCHR	Office of the United Nations High Commissioner for Human Rights
OHRLLS	Office of the High Representative for the Least Developed Countries, Landlocked Developing Countries and Small Island Developing States
OIOS	Office of Internal Oversight Services
OLA	Office of Legal Affairs
OOSA	Office for Outer Space Affairs
OSAA	Office of the Special Adviser on Africa
PBSO	United Nations Peacebuilding Support Office
RSCE	Regional Service Centre in Entebbe, Uganda
UNAIDS	Joint United Nations Programme on HIV/AIDS
UNAMA	United Nations Assistance Mission in Afghanistan
UNAMI	United Nations Assistance Mission for Iraq
UNAMID	African Union-United Nations Hybrid Operation in Darfur
UNCCD	United Nations Convention to Combat Desertification
UNCTAD	United Nations Conference on Trade and Development
UNDOF	United Nations Disengagement Observer Force
UNEP	United Nations Environment Programme
UNESCO	United Nations Educational, Scientific and Cultural Organization
UNFCCC	United Nations Framework Convention on Climate Change
UNFICYP	United Nations Peacekeeping Force in Cyprus
UN-Habitat	United Nations Human Settlements Programme
UNHCR	Office of the United Nations High Commissioner for Refugees
UNICRI	United Nations Interregional Crime and Justice Research Institute
UNIFIL	United Nations Interim Force in Lebanon
UNIOGBIS	United Nations Integrated Peacebuilding Office in Guinea-Bissau
UNISFA	United Nations Interim Security Force for Abyei
UNITAR	United Nations Institute for Training and Research
UNJSPF	United Nations Joint Staff Pension Fund
UNJSPF-IMD	United Nations Joint Staff Pension Fund Investment Management Division
UNMAS	Mine Action Service, Department of Peacekeeping Operations
UNMIK	United Nations Interim Administration Mission in Kosovo

UNMIL	United Nations Mission in Liberia
UNMISS	United Nations Mission in South Sudan
UNMOGIP	United Nations Military Observer Group in India and Pakistan
UNOCI	United Nations Operation in Côte d'Ivoire
UNODC	United Nations Office on Drugs and Crime
UNOG	United Nations Office at Geneva
UNON	United Nations Office at Nairobi
UNOP	United Nations Office for Partnerships
UNOV	United Nations Office at Vienna
UNRWA	United Nations Relief and Works Agency for Palestine Refugees in the Near East
UNSCO	Office of the United Nations Special Coordinator for the Middle East Peace Process
UNSCOL	Office of the United Nations Special Coordinator for Lebanon
UNSMIL	United Nations Support Mission in Libya
UNSOA	United Nations Support Office for the African Union Mission in Somalia
UNSOM	United Nations Assistance Mission in Somalia
UNSOS	United Nations Support Office in Somalia
UNSSC	United Nations System Staff College
UNTSO	United Nations Truce Supervision Organization
UNV	United Nations Volunteers

I. Introduction

1. The present addendum provides information about the status of implementation of recommendations issued by the Office of Internal Oversight Services. The report includes an overall assessment of the implementation of recommendations (sect. II), an analysis of open recommendations, broken down by entity (sect. III), a list of critical recommendations the implementation of which is past due (sect. IV), recommendations with financial implications (sect. V) and a list of all of the oversight reports issued between 1 July 2016 and 30 June 2017 (sect. VI).

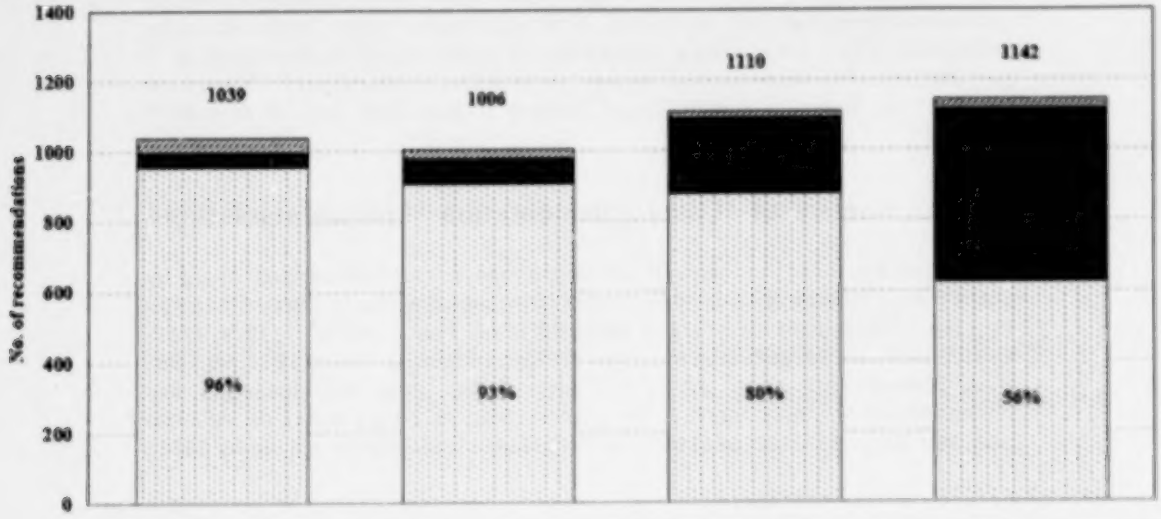
II. Overall assessment of the implementation of recommendations

2. A breakdown of the status of implementation of recommendations issued by OIOS from 1 January 2013 to 31 December 2016, including the implementation rate for each of those calendar years, is provided in the figure below.[1] In 2016, OIOS issued 1,153 recommendations to United Nations entities. Of those, 48 (4 per cent) were deemed critical. As at 31 December 2016, programme managers had implemented 624 (56 per cent) of the recommendations issued during the calendar year, including 39 (81 per cent) of the critical recommendations issued during 2016.

[1] The most recent follow-up of important recommendations was carried out in February 2017 for recommendations issued by 31 December 2016, in accordance with the new follow-up schedule established in 2011 (see A/67/297 (Part I)). Critical recommendations due for implementation are followed up on a quarterly basis; therefore their status is as at 30 June 2017 in the case of recommendations issued up to the end of 2016. The information in the figure is provided by calendar year to ensure compatibility with past calendar years and to ensure consistency with the performance data used in the senior managers' compacts.

Status of recommendations issued from 1 January 2013 to 31 December 2016 as at 28 February 2017, and implementation rates[a]

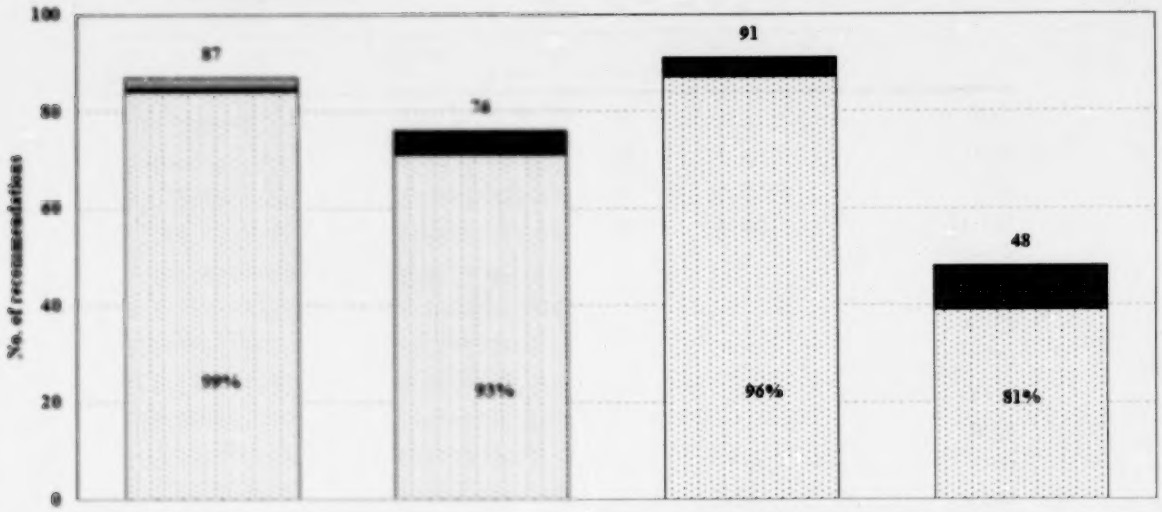
(a) All recommendations



	2013	*2014*	*2015*	*2016*
Closed	40	29	18	24
Pending	44	69	214	494
Implemented	955	908	878	624

[a] A recommendation may be closed when, for example, it is overtaken by events or when management accepts responsibility for residual risk arising from the non-implementation of the recommendation. It is to be noted that the rates of implementation of recommendations are based on the total number of implemented recommendations divided by the number of recommendations issued, excluding those that have been closed. These figures exclude declined recommendations.

(b) Critical recommendations



	2013	2014	2015	2016
Closed	2	0	0	0
Pending	1	5	4	9
Implemented	84	71	87	39

III. Analysis of open recommendations

3. Information about the status of all outstanding recommendations issued up to the end of 30 June 2017 for each United Nations department/office is provided in table 1. Of the 1,287 open recommendations issued as at 30 June 2017, 24 critical recommendations (2 per cent) were outstanding, 17 of them had missed the target date and 7 of them were not yet due. Specific information on critical recommendations for which implementation is overdue, including management's response, is provided in section IV.

Table 1
Breakdown of open recommendations by status and implementation schedule for all outstanding critical recommendations issued up to 30 June 2017

	Outstanding recommendations			*Critical recommendation implementation schedule*		
	Total	*Important*	*Critical*	*Second half of 2017*	*2018 and beyond*	*Past due*
DESA	12	12				
DFS	151	149	2		2	
DGACM	4	4				
DM	120	117	3	1	1	1
DPA	10	10				
DPI	10	10				
DPKO	35	35				

	Outstanding recommendations			*Critical recommendation implementation schedule*		
	Total	*Important*	*Critical*	*Second half of 2017*	*2018 and beyond*	*Past due*
DSS	5	5				
ECA	14	14				
ECE	9	9				
ECLAC	12	12				
EOSG	5	5				
ESCAP	15	15				
ESCWA	11	11				
ICTR	2	2				
ICTY	1	1				
ISDR	2	2				
ITC	12	12				
MICT	9	9				
MINUSCA	49	46	3	2	1	
MINUSMA	9	8	1		1	
MINUSTAH	20	20				
MONUSCO	39	38	1	1		
OCHA	58	58				
ODA	4	4				
OHCHR	29	29				
OHRLLS	2	2				
OLA	6	6				
OOSA	1	1				
OSAA	1	1				
PBSO	2	2				
UNAIDS	1	1				
UNAMA	8	8				
UNAMI	5	5				
UNAMID	17	17				
UNCCD	9	9				
UNCTAD	11	11				
UNDOF	3	3				
UNEP	12	12				
UNESCO	3	3				
UNFCCC	21	21				
UNFICYP	8	8				
UN-Habitat	47	45	2			2
UNHCR	156	151	5			5
UNICRI	5	5				
UNIFIL	11	11				
UNIOGBIS	4	4				
UNISFA	3	2	1			1

	Outstanding recommendations			*Critical recommendation implementation schedule*		
	Total	*Important*	*Critical*	*Second half of 2017*	*2018 and beyond*	*Past due*
UNITAR	1	1				
UNJSPF	30	28	2			2
UNJSPF-IMD	46	46				
UNMIK	5	5				
UNMIL	8	8				
UNMISS	29	29				
UNMOGIP	2	2				
UNOCI	19	19				
UNODC	25	25				
UNOG	20	20				
UNON	42	42				
UNOP	1	1				
UNOV	16	16				
UNRWA	3	3				
UNSCOL	7	7				
UNSMIL	1	1				
UNSOS	48	44	4			4
UNSSC	1	1				
Total	**1 287**	**1 263**	**24**	**4**	**3**	**17**

IV. Critical recommendations the implementation of which is past due

4. Details of critical recommendations for which implementation is overdue, together with management's latest update on the progress made to date, are provided in table 2.

Table 2
Critical recommendations for which implementation is overdue

Entity	*Assignment number (report number)*	*Recommendation*	*Risk category*	*Report date*	*Target date*	*New estimated completion date*
DM	AH2014/511/01 (2015/051)	The Department of Management should review and promulgate administrative issuances on the administration and management of the health insurance scheme and the related staff-management consultative mechanism. *Client response July 2017: Administrative instructions on group life insurance are under review. An administrative instruction on International health insurance will be done in mid-2018.*	Governance	16 June 2015	31 December 2016	30 June 2018
MINUSCA	AP2016/637/06 (2016/161)	Confidential. *Client response July 2017: In progress.*	Human resources	15 December 2016	28 February 2017	31 December 2017
MINUSMA	AP2014/641/04 (2015/055)	MINUSMA should establish standard operating procedures for the provision of fuel to United Nations entities and other parties and recover an estimated $4.5 million in outstanding accounts receivable for fuel supplied to non-MINUSMA entities for the period from July 2013 to February 2015. *Client response July 2017: Standard operating procedure under review. Fund recovery under way.*	Financial	19 June 2015	31 December 2015	31 July 2017
UN-Habitat	AA2014/250/01 (2014/120)	UN-Habitat should at all times perform due diligence to assess the technical, financial, managerial and administrative capacities of implementing partners, as prescribed by the policy statement on partnerships with non-governmental and civil society organizations. *Client response July 2017: Policy and standard operating procedures finalized. Examples of compliance with the policy will follow.*	Compliance	5 December 2014	30 June 2015	–
	AA2014/250/01 (2014/120)	UN-Habitat should follow up, account for and recover project assets in the custody of implementing partners, especially for those projects that have been terminated. *Client response July 2017: Mission is being organized to obtain full accounting of all funds.*	Operational	5 December 2014	30 June 2015	–
UNHCR	AR2012/112/03 (2013/023)	UNHCR should engage the Government of Ethiopia to seek redress for the breaches of the country agreement, the implementing partner agreement and the provisions of the right of use agreements by the Government implementing partner. *Client response May 2017: When UNHCR transfers ownership of its assets to its partners, the organization releases the control of the vehicle and its use, including maintenance and fuel, over to the recipient or recipients.*	Governance	25 March 2013	31 December 2013	–

Entity	Assignment number (report number)	Recommendation	Risk category	Report date	Target date	New estimated completion date
	AR2013/141/03 (2014/041)	The UNHCR representation in Afghanistan, in conjunction with the Bureau for Asia and the Pacific, should: (a) undertake a review of the project implemented by the partner to ascertain the extent to which project objectives were achieved and whether value for money was obtained; and (b) review payments made to the partner, in the context of the deliverables actually provided, and recover any payments that are considered to be excessive. *Client response May 2015: The Controller had sent a letter to the partner's regional office with a copy to its headquarters, with regard to the claimed amount. The partner responded to the letter by email on 7 April 2015 by again objecting to the claim. UNHCR then requested the partner to provide a reply to the Controller's letter by the designated officer in the partner's headquarters and, in addition, asked the partner to provide clear documentation to substantiate the direct cost charged over and above the budget.*	Financial	30 May 2014	30 September 2014	–
	AR2016/110/08 (2017/005)	The UNHCR representation in Rwanda, in close cooperation with the Regional Bureau for Africa and the Division of Emergency, Security and Supply, should: (a) establish effective management controls over procurement and vendor management; and (ii) develop a plan of action to ensure that supply staff are adequately trained on UNHCR procurement rules and procedures and the members of the local committee on contracts are fully aware of their roles and responsibilities in overseeing the integrity of the procurement process. *Client response June 2017: (a) As a result of a review, the vendor database contained 82 vendors, 46 of whom had complete vendor files, and the remaining vendor files would be completed by 30 September 2017; (b) 9 cases for waivers of competitive bidding were submitted to the local committee on contracts in 2017, and a total of 11 ex post facto cases were also presented to the local committee on contracts and submitted to the relevant regional/headquarters contracts committees for approval; and (c) 2 comprehensive procurement plans were developed to include requirements from the Programme Unit and the Administration Unit and submitted to the Supply Management and Logistics Service on 19 May 2017.*	Operational	7 March 2017	1 June 2017	30 September 2017

Entity	*Assignment number (report number)*	*Recommendation*	*Risk category*	*Report date*	*Target date*	*New estimated completion date*
	AR2016/110/05 (2017/010)	The UNHCR representation in Ethiopia, in coordination with the Bureau for Africa and the Supply Management and Logistics Service, should: (a) arrange for a comprehensive independent review to be conducted to assess the structural, staffing and training requirements needed to address the systemic and persistent weaknesses in procurement and vendor management; and (b) develop a plan for completing the ongoing actions to regularize contracts and review past payments made for goods and services without contracts. *Client response March 2017: (a) The head of the Supply Management and Logistics Service conducted a mission to Ethiopia in September 2016. Following the mission, a staffing plan was proposed and is now being considered at Headquarters; and (b) actions to regularize contracts are being finalized.*	Operational	15 March 2017	30 April 2017	–
	AR2016/110/05 (2017/010)	The UNHCR representation in Ethiopia, in coordination with the Bureau for Africa and the Asset and Fleet Management Section, should review the arrangements over fleet and fuel management in Ethiopia to ensure the implementation of appropriate controls with respect to the determination of vehicle needs, the disposal of vehicles, vehicle insurance schemes and the allocation and utilization of vehicles and fuel and maintenance costs among partners and UNHCR offices. *Client response March 2017: The operation had hired a fleet management expert and would provide a status report providing a concrete and time-bound action plan once a detailed review was conducted. The review would cover strengthening controls over fleet and fuel management, including: (a) determination of vehicle needs; (b) disposal of vehicles; (c) vehicle insurance schemes; and (d) allocation and utilization of vehicles and related fuel and maintenance costs among partners and UNHCR offices.*	Operational	15 March 2017	30 April 2017	–
UNISFA	AP2015/635/02 (2016/076)	UNISFA should implement an effective governance mechanism to oversee the development and implementation of a mid- to long-term infrastructure plan and follow up with the relevant mission components to ensure the finalization of the strategic concept of operations and related support concept that would constitute the foundation for the infrastructure plan. *Client response June 2017: Implemented. (OIOS: Pending updated concept of operations.)*	Strategy	20 July 2016	30 June 2017	–

Entity	*Assignment number (report number)*	*Recommendation*	*Risk category*	*Report date*	*Target date*	*New estimated completion date*
UNJSPF	AS2016/800/03 (2017/002)	The UNJSPF secretariat should: (a) establish measurable metrics to assess the performance of client services in regard to responding to telephone calls and in-person visits; and (b) take corrective action to ensure that the delays in responding to emails and the very low level of response to telephone calls from clients are effectively addressed. *Client response July 2017: Implemented. (OIOS: More evidence of full implementation required.)*	Operational	13 February 2017	30 June 2017	–
	AS2016/800/03 (2017/002)	The UNJSPF secretariat should implement appropriate measures to address the sharp decline in the performance (i.e. percentage of withdrawal settlements, retirement benefits and other benefits processed within 15 business days) of the Pension Entitlements Section. *Client response July 2017: Implemented. (OIOS: Data show that target has not yet been met.)*	Operational	13 February 2017	30 June 2017	–
UNSOS	AP2013/638/05 (2014/075)	UNSOA should monitor bulk fuel issued to AMISOM and its consumption by: (a) enforcing AMISOM reporting procedures and requirements; (b) providing regular training to AMISOM personnel in charge of fuel handling and monitoring; and (c) regularly analysing consumption patterns to identify any unusual activities and take appropriate action. *Client response June 2017: Implementation is in progress.*	Operational	8 August 2014	31 December 2014	–
	AP2014/638/08 (2015/184)	UNSOS should: (a) assign resources to calculate applicable performance credits related to the first 25 consumption cycles so as to determine the amounts to be offset against reimbursement claims submitted by the contractor and recover any remaining amounts due to UNSOS; and (b) implement a mechanism to ensure that performance credits are calculated in a timely manner and invoices are adjusted accordingly. *Client response June 2017: Implementation of the recommendation is in progress. Recruitment of individual contractors to conduct the review is in progress.*	Compliance	18 December 2015	31 December 2015	31 December 2017
	AP2015/638/01 (2016/014)	Confidential. *Client response June 2017: Implementation is in progress.*	Operational	14 March 2016	31 December 2016	–
	AP2015/638/01 (2016/014)	Confidential. *Client response June 2017: Implementation is in progress.*	Compliance	14 March 2016	31 December 2016	–

V. Financial implications of recommendations

5. A summary of OIOS recommendations with financial implications is provided in table 3. OIOS classifies financial implications according to several categories, including loss and waste of resources, recovery, expenditure reduction, additional income and budget reduction.

6. During the reporting period, OIOS identified $16 million in potential recoveries and expenditure reduction, of which a total of $5 million was realized during that period.

Table 3
Financial implications of recommendations, 1 July 2016-30 June 2017
(United States dollars)

Entity	*Assignment number (Report number)*	*Description*	*Estimated amount*	*Realized amount*
MINUSMA	AP2015/641/07 (2016/075)	Recoveries	20 952	–
MICT	AA2016/261/03 (2017/019)	Recoveries	661 400	–
MICT	AA2016/261/03 (2017/019)	Recoveries	1 190 000	–
MICT	AA2017/261/01 (2017/029)	Loss and waste of resources	3 042	–
MICT	AA2017/261/01 (2017/029)	Loss and waste of resources	265 000	–
MINUSCA	AP2016/637/03 (2016/131)	Loss and waste of resources	17 109	–
MINUSMA	AP2015/641/07 (2016/075)	Recoveries	64 200	–
MINUSTAH	AP2016/683/03 (2017/035)	Expenditure reduction	133 109	–
MONUSCO	AP2016/620/03 (2016/133)	Recoveries	7 700 000	5 292 370
MONUSCO	AP2017/620/12 (2017/060)	Recoveries	3 925	–
OHCHR	ID-0157/16	Medical claim fraud	3 044	
UNFICYP	AP2016/654/01 (2016/092)	Loss and waste of resources	130 000	–
UNHCR	AR2016/131/05 (2017/018)	Recoveries	179 000	–
UNHCR	AR2016/131/05 (2017/018)	Recoveries	14 599	–
UNIFIL	AP2016/672/04 (2017/057)	Recoveries	198 000	–
UNIFIL	AP2016/672/01 (2016/111)	Expenditure reduction	83 000	–
UNIFIL	AP2016/672/01 (2016/111)	Expenditure reduction	43 000	–
UNIFIL	AP2016/672/04 (2017/057)	Expenditure reduction	4 900 000	

Entity	*Assignment number (Report number)*	*Description*	*Estimated amount*	*Realized amount*
UNMIL	AP2016/626/06 (2017/048)	Recoveries	33 461	–
UNMISS	AP2016/633/07 (2017/038)	Recoveries	415 175	–
UNOG	ID-0098/16	Medical claim fraud	36 288	
UNON	ID-0153/15	Investigation report on unlawful act	6 880	
UNON	ID-0398/15	Investigation report on unlawful act	6 880	
UNSOS	AP2016/638/07 (2016/146)	Recoveries	170 400	–
Total			**16 278 461**	**5 292 370**

VI. Reports issued during the reporting period

7. During the 12-month period from 1 July 2016 to 30 June 2017, OIOS issued 374 oversight reports, comprising 11 reports to the General Assembly, 177 internal audit reports, 152 investigation reports, 30 closure reports and 4 evaluation and inspection reports. A list of all such reports issued during the reporting period is provided in table 4.

Table 4
Reports issued during the period from 1 July 2016 to 30 June 2017

A. Reports issued to the General Assembly

Symbol	*Date*	*Subject*
A/71/337 (Part I)	15 August 2016	Activities of OIOS for period from 1 July 2015 to 30 June 2016
A/71/337 (Part I)/ Add.1/Rev.1	16 September 2016	Activities of OIOS for period from 1 July 2015 to 30 June 2016
E/AC.51/2017/3	12 January 2017	Evaluation of the Office of the United Nations Relief and Works Agency for Palestine Refugees in the Near East
E/AC.51/2017/7	17 February 2017	Evaluation of the Executive Office of the Secretary-General
A/71/798	17 February 2017	Review and evaluation of strategic deployment stocks
A/71/337 (Part II)	21 February 2017	Activities of OIOS on peace operations for the period from 1 January to 31 December 2016
E/AC.51/2017/9	17 March 2017	Evaluation of the Office of the United Nations Commissioner for Human Rights
E/AC.51/2017/8	17 March 2017	Thematic evaluation of the regional commissions

Symbol	*Date*	*Subject*
A/72/72	17 March 2017	Strengthening the role of evaluation and the application of evaluation findings on programme design, delivery and policy directives
E/AC.51/2017/10	21 March 2017	Evaluation of the Office of the United Nations High Commissioner for Refugees for 2017
E/AC.51/2017/11	21 March 2017	Evaluation of the Office for the Coordination of Humanitarian Affairs

B. Reports issued to programme managers by the Internal Audit Division

			Number of recommendations	
Entity	*Issue date*	*Audit report subject*	*Critical*	*Important*
CAAC	20 December 2016	Office of the Special Representative of the Secretary-General for Children and Armed Conflict	0	0
DESA	13 February 2017	Management of the sustainable development subprogramme	0	7
DFS	2 August 2016	Implementation of modularization in the context of engineering support provided by DFS to field missions	0	7
	30 September 2016	Provision of guidance and oversight of aviation safety in peace operations	0	7
	5 December 2016	Governance framework for major construction projects in peace operations	0	2
	19 December 2016	Onboarding and separation of staff by RSCE	1	4
	9 March 2017	Advisory engagement to review oversight recommendations and planning for four pilot projects and overall governance relating to the implementation of supply chain management	0	0
	28 April 2017	Provision of guidance and support to fuel operations	0	4
	29 June 2017	Oversight and support of property management in field missions	0	6
DFS, DM	27 October 2016	Reporting, business intelligence and visualization systems in DFS	0	8
	12 December 2016	Electronic mail and information and communications technology security	0	9
	10 March 2017	Management of air transportation of uniformed personnel and related cargo at United Nations Headquarters	0	11

Entity	Issue date	Audit report subject	Number of recommendations	
			Critical	Important
DM	16 September 2016	Investment management	0	9
	15 December 2016	Umoja change management	0	4
	6 June 2017	Regional procurement office in Entebbe	0	7
	7 June 2017	Process for authorizing exceptions to the Staff Rules and related administrative instructions	0	6
DM, ECLAC	20 December 2016	Financial and human resources management	0	8
DM, OLA, DFS, ODA	14 October 2016	Records management	0	19
DM, UNJSPF	13 February 2017	Management of delays in processing of pension benefits	2	10
DM, UNON, UNEP, UN-Habitat	2 August 2016	Umoja implementation in Nairobi-based entities	2	10
DPI, DM	28 November 2016	Management of strategic communication activities	0	9
DPKO, DFS, DM	15 May 2017	Management of selected trust funds	0	12
DPKO, DFS, DM, UNIFIL	23 June 2017	Implementation of letters of assist and memorandums of understanding for maritime operations in UNIFIL	0	6
ECA	18 August 2016	Management of construction activities and related processes	0	6
ECA, DM	15 June 2017	Audit of major construction and alteration projects	0	5
EOSG, DM	29 June 2017	Process for promulgating administrative issuances in the United Nations Secretariat	0	3
ESCAP	20 December 2016	Procurement and contract management	0	7
ESCAP, DM	13 June 2017	Major construction and alteration projects	0	5
ESCWA	11 August 2016	Selected subprogrammes and related technical cooperation projects	0	3
ICTY, MICT	9 March 2017	Implementation of the Umoja human resources management module	0	2
	28 April 2017	Education grant disbursement	0	4
ITC	26 September 2016	Management of implementing partners	0	6
	13 April 2017	Procurement and contract management	0	4

Entity	*Issue date*	*Audit report subject*	*Number of recommendations*	
			Critical	*Important*
MICT	7 December 2016	Governance arrangements	0	3
	10 April 2017	Construction of a new office facility	0	2
	2 June 2017	Effectiveness of liquidation activities	0	2
MICT, ICTY	23 August 2016	Administrative support arrangements of MICT and ICTY	0	0
MINUSCA	18 August 2016	Medical services	1	7
	23 August 2016	Contingent-owned equipment	0	3
	8 November 2016	Movement control operations	0	3
	5 December 2016	Engineering projects	2	4
	15 December 2016	Aviation safety	2	5
	19 December 2016	Air operations	0	9
	20 April 2017	Quick-impact projects	0	7
	6 June 2017	Conduct and discipline function	0	9
	9 June 2017	Recruitment of national staff	0	8
MINUSMA	12 July 2016	Leave and attendance records	0	4
	30 September 2016	Local procurement	0	10
	9 December 2016	Asset management	0	4
	19 December 2016	Management of engineering projects	2	6
	20 December 2016	Quick-impact projects	0	5
	23 June 2017	Ex post facto procurement cases	0	4
MINUSTAH	15 December 2016	Property management	0	7
	21 December 2016	Local procurement	0	8
	5 May 2017	Human rights programme	0	3
	16 May 2017	Contingent-owned equipment	0	7
MONUSCO	7 July 2016	Asset management	0	8
	27 October 2016	Preparations for electoral support activities	0	2
	10 November 2016	Repair and maintenance of vehicles	0	3
	10 November 2016	Fuel management	1	11
	15 December 2016	Management of engineering projects	0	5
	16 March 2017	Military operations for the protection of civilians	2	5

Entity	*Issue date*	*Audit report subject*	*Number of recommendations*	
			Critical	*Important*
	25 April 2017	Outsourced services	0	4
	23 June 2017	Ground transport operations	0	3
	28 June 2017	Asset disposal and local property survey board activities	0	3
OCHA	23 August 2016	Resource mobilization	0	6
	24 August 2016	Operations in the Central African Republic	0	8
	31 October 2016	Human resources	0	9
	20 December 2016	Operations in the Sudan	0	3
	28 December 2016	Joint audit of the governance arrangements of the South Sudan common humanitarian fund	0	4
	17 March 2017	Operations in Ethiopia	0	4
	9 June 2017	Coordination and response function	0	9
	28 June 2017	Operations in Mali	0	5
	30 June 2017	Joint internal audit of the management of activities funded by the Central Emergency Response Fund in Ethiopia	0	0
OHCHR	27 February 2017	Support to the human rights treaty bodies	0	5
	17 May 2017	Human rights country office in Guinea	0	5
UNAMA	19 December 2016	Safety and security	0	8
	22 December 2016	Aviation safety	0	3
UNAMI	12 December 2016	Procurement activities	0	5
	6 June 2017	Medical services	0	2
UNAMID	15 September 2016	Management of military camp facilities	0	1
	15 September 2016	Asset disposal activities	0	2
	29 September 2016	Repair and maintenance of vehicles	0	1
	9 December 2016	Safety and security	0	8
	14 December 2016	Fuel management	0	4
	15 December 2016	Management of rations contracts	0	4
	16 June 2017	Aviation safety programme	0	3
	29 June 2017	Public information programme	0	8
UNCCD	7 November 2016	Advocacy, awareness-raising and education programme	0	6

Entity	Issue date	Audit report subject	Number of recommendations: Critical	Number of recommendations: Important
UNCTAD	29 June 2017	Use of consultants and individual contractors	0	5
UNDEF	17 October 2016	Management	0	2
UNDOF	11 August 2016	United Nations property and contingent-owned equipment	0	2
UNEP	8 July 2016	Environmental governance subprogramme	0	5
	7 November 2016	Resource efficiency subprogramme	0	6
UNFCCC	7 December 2016	Mitigation, data and analysis programme	0	5
	13 April 2017	Knowledge and records management	0	6
UNFICYP	23 August 2016	Vehicle and fuel management	0	10
UNFIP	18 October 2016	Management of UNFIP and the trust fund for partnerships	0	2
UN-Habitat	14 November 2016	Resource mobilization	0	9
	12 December 2016	Project management process	0	11
	27 April 2017	Housing and slum-upgrading subprogramme	0	3
	23 June 2017	Urban legislation, land and governance subprogramme	0	5
UNHCR	20 July 2016	Operations in the Syrian Arab Republic	2	5
	11 August 2016	Regional Bureau for Africa	0	8
	12 August 2016	Review of recurrent security management issues in internal audit reports for field operations	0	4
	29 August 2016	Operations in Western Sahara	0	4
	2 September 2016	Regional operations in Italy	0	3
	2 September 2016	Operations in the Bolivarian Republic of Venezuela	0	5
	8 September 2016	Arrangements for fleet management	0	7
	9 September 2016	Operations in the Niger	0	5
	13 September 2016	Operations in Uganda	0	7
	4 October 2016	Operations in Afghanistan	3	3
	12 October 2016	Management of software licences	0	5
	12 October 2016	Operations in Israel	0	0

Entity	Issue date	Audit report subject	Number of recommendations: Critical	Number of recommendations: Important
	14 October 2016	European operations in Turkey	0	0
	24 October 2016	Operations in Malaysia	0	0
	28 October 2016	Operations in Turkey for the Syrian situation	0	4
	31 October 2016	Operations in Guinea	0	5
	28 November 2016	Management of the external service provider for information technology infrastructure	0	0
	5 December 2016	Operations in central and southern Iraq	0	8
	9 December 2016	Medical insurance plan	0	7
	12 December 2016	Operations in Croatia	0	5
	21 December 2016	Operations in Nigeria	0	5
	21 December 2016	Vendor review committees and committees on contracts in field operations	0	2
	22 December 2016	Biometric identity management system	0	6
	30 December 2016	Regional representation for West Africa	0	6
	7 March 2017	Operations in Rwanda	1	1
	10 March 2017	Livelihoods and self-reliance programmes in the Office of the United Nations High Commissioner for Refugees	0	3
	15 March 2017	Operations in Ethiopia	2	6
	3 April 2017	Regional operations in Bosnia and Herzegovina	0	3
	4 April 2017	Operations in Burundi	0	4
	4 April 2017	Operations in Yemen	0	10
	12 April 2017	Operations in Greece	0	9
	13 April 2017	Operations in Mali	0	3
	15 May 2017	Operations in Kenya	0	5
	2 June 2017	Operations in Pakistan	0	5
UNIFIL	7 September 2016	Preparedness for the deployment of Umoja Extension 1	0	6
	30 September 2016	Contingent-owned equipment	0	6
	5 December 2016	Rations management	0	4

Entity	Issue date	Audit report subject	Number of recommendations: Critical	Number of recommendations: Important
	12 December 2016	Facilities management	0	2
UNIOGBIS	16 December 2016	Substantive programmes and support functions	0	10
UNISFA	20 July 2016	Engineering activities	4	3
UNJSPF	15 November 2016	Cash management	0	2
	19 May 2017	Business continuity and disaster recovery	0	6
UNJSPF-IMD	15 November 2016	Cash management	0	9
	23 March 2017	New trade order management system	0	7
	2 May 2017	Management of real assets and alternative investments	0	7
UNMIL	30 September 2016	Property management	0	5
	22 November 2016	Contingent-owned equipment	0	0
	13 December 2016	Archives and records management	0	6
	26 April 2017	Contract management and administration	0	5
	12 June 2017	Fuel management	0	5
UNMISS	18 August 2016	Rations management	0	4
	25 October 2016	Safety and security of staff and premises	0	6
	19 May 2017	General services contracts management	0	8
UNMISS, DFS, DM	28 June 2017	Electronic contingent-owned equipment system in UNMISS	0	10
UNOCI	4 August 2016	Asset management	0	6
	16 November 2016	Contingent-owned equipment	0	4
	13 December 2016	Phasing out of human resources during the drawdown period	0	2
	21 December 2016	Archives and records management	0	3
	19 June 2017	Inventory and asset disposal during liquidation	0	7
	19 June 2017	Camp closure and environmental clean-up	0	3
UNODC	9 September 2016	Operations in the Middle East and North Africa	0	6
	17 March 2017	Operations in South-East Asia and the Pacific	0	7
UNOG	26 September 2016	Publishing services	0	8

Entity	Issue date	Audit report subject	Number of recommendations: Critical	Important
	27 October 2016	Property management activities	0	4
	24 January 2017	Administration of medical insurance	0	9
UNON	22 May 2017	Facilities management	0	10
UNOV	15 September 2016	Safety and security	0	3
	16 March 2017	Use of short-term staff and contractors for the provision of conference services	0	5
UNSMIL	11 April 2017	Administrative support	0	1
UNSOS	17 August 2016	Management of contingent-owned equipment	0	4
	30 September 2016	Provision of medical support in Somalia	0	5
	6 December 2016	Fuel management	0	4
	6 December 2016	Facilities management in Somalia	0	5
	14 December 2016	Repair and maintenance of generators	0	2
	16 December 2016	Aviation safety	1	8

C. Reports issued to programme managers by the Investigations Division, excluding closure reports

Entity	Issue date	Report subject
DFS	28 February 2017	Investigation report on procurement irregularities by a staff member at RSCE
DGACM	3 August 2016	Investigation report on possible corruption and fraud by a staff member in the Office of the President of the General Assembly
	25 August 2016	Investigation report on possible corruption and fraud by a staff member in DGACM
	20 September 2016	Investigation report on possible fraud by a staff member in DGACM
	19 December 2016	Investigation report on engaging in an outside activity without the Secretary-General's authorization by a staff member in DGACM
	5 April 2017	Investigation report on unauthorized outside activities by a staff member in DGACM
DSS	27 September 2016	Investigation report on violation of local laws by a staff member in DSS

Entity	*Issue date*	*Report subject*
	26 May 2017	Investigation report on abuse of sick leave and possible submission of fraudulent medical certificates by a staff member in DSS
ECA	25 July 2016	Investigation report on a complaint of retaliation by a staff member at ECA
	12 August 2016	Investigation report on a complaint of retaliation by a staff member at ECA
	12 August 2016	Investigation report on a complaint of retaliation by a staff member at ECA
ECE	18 November 2016	Investigation report on a complaint of retaliation by a staff member at ECE
EOSG	26 August 2016	Investigation report on possible fraud by a staff member in EOSG
ICTR	26 May 2017	Investigation report on misconduct by a former staff member of ICTR
MINUSCA	13 July 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	13 July 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	25 July 2016	Contingent report on excessive use of force resulting in the death of civilians by peacekeepers at MINUSCA
	25 July 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MINUSCA
	1 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	1 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	19 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	19 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	19 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	19 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	19 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	19 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA

Entity	*Issue date*	*Report subject*
	28 December 2016	Contingent reports on sexual exploitation and abuse by peacekeepers at MINUSCA
	28 December 2016	Contingent report on possible misconduct by a peacekeeper at MINUSCA
	28 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MINUSCA
	30 December 2016	Contingent report on serious misconduct by peacekeepers at MINUSCA
	30 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	30 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	30 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	30 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	30 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	30 January 2017	Contingent report on sexual exploitation by peacekeepers at MINUSCA
	23 February 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	28 February 2017	Contingent report on sexual exploitation by a peacekeeper at MINUSCA
	29 March 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	29 March 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	29 March 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	29 March 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	29 March 2017	Contingent report on sexual exploitation and abuse by a peacekeeper at MINUSCA
	29 March 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	5 April 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA

Entity	*Issue date*	*Report subject*
	5 April 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	5 April 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	5 April 2017	Contingent report on possible misconduct by a peacekeeper at MINUSCA
	5 May 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	26 May 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	26 May 2017	Contingent report on sexual exploitation and abuse by peacekeepers at MINUSCA
	31 May 2017	Contingent report on sexual exploitation and abuse by a peacekeeper at MINUSCA
	30 June 2017	Investigation report on sexual abuse of an alleged minor by a Police Support Unit officer at MINUSCA
MINUSMA	30 December 2016	Contingent report on serious misconduct by a peacekeeper at MINUSMA
	26 May 2017	Contingent report on possible misconduct by peacekeepers at MINUSMA
MINUSTAH	11 July 2016	Investigation report on non-cooperation by a vendor at MINUSTAH
	3 August 2016	Investigation report on non-cooperation by a United Nations vendor at MINUSTAH
	27 January 2017	Investigation report on a fraudulent medical insurance claim by a staff member at MINUSTAH
	30 January 2017	Investigation report on possible extortion by a staff member at MINUSTAH
	28 February 2017	Investigation report on medical claim fraud by a staff member at MINUSTAH
	31 May 2017	Investigation report on breach of contract and fraud by a vendor at MINUSTAH
MONUSCO	23 September 2016	Contingent report on sexual abuse by peacekeepers at MONUSCO
	28 September 2016	Contingent report on sexual abuse by a peacekeeper at MONUSCO
	28 September 2016	Investigation report on the sexual abuse of a minor by a staff member at MONUSCO

Entity	*Issue date*	*Report subject*
	28 September 2016	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
	21 October 2016	Investigation report on fraud by a staff member at MONUSCO
	21 October 2016	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
	21 October 2016	Investigation report on fraud by a staff member at MONUSCO
	18 November 2016	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
	19 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	19 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	19 December 2016	Investigation report on fraud by a staff member at MONUSCO
	19 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	19 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	19 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	19 December 2016	Investigation report on sexual exploitation by a UNPOL Officer at MONUSCO
	28 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	28 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	28 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	28 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	30 December 2016	Investigation report on the sexual abuse of a minor by a UNV at MONUSCO
	30 December 2016	Contingent report on sexual exploitation and abuse by peacekeepers at MONUSCO
	30 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO

Entity	*Issue date*	*Report subject*
	30 December 2016	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	30 January 2017	Contingent report on sexual abuse by a peacekeeper at MONUSCO
	30 January 2017	Investigation report on sexual abuse by a UNV at MONUSCO
	5 April 2017	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	5 April 2017	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	26 May 2017	Contingent report on sexual exploitation and abuse by a peacekeeper at MONUSCO
	30 June 2017	Contingent report on sexual exploitation by a peacekeeper at MONUSCO
OCHA	13 July 2016	Investigation report on possible negligence during project audits of the Somalia common humanitarian fund
ODA	27 January 2017	Investigation report on possible breach of confidentiality agreement and unauthorized outside activities by a former staff member at ODA
OHCHR	29 September 2016	Investigation report on medical claim fraud by a staff member at OHCHR
UNAMA	4 November 2016	Investigation report on entitlement fraud by a staff member at UNAMA
UNAMID	18 November 2016	Preliminary investigation report on prohibited conduct by a former staff member at UNAMID
	30 January 2017	Investigation report on conflict of interest and procurement fraud by a staff member at UNAMID
	30 June 2017	Investigation report on the use of forged documents by a staff member at UNAMID
UNEP	26 May 2017	Investigation report on unauthorized outside activity and conflict of interest by a staff member at UNEP
UNFCCC	29 March 2017	Investigation report on possible misconduct by a staff member at UNFCCC
UNFICYP	30 June 2017	Contingent report on serious misconduct by a peacekeeper at UNFICYP
UN-Habitat	25 July 2016	Investigation report on fraud by a staff member at UN-Habitat

Entity	*Issue date*	*Report subject*
	29 March 2017	Investigation report on allegations of possible corruption and fraud by a former staff member at UN-Habitat
UNIFIL	19 December 2016	Contingent report on misconduct by peacekeepers at UNIFIL
	30 June 2017	Contingent report on sexual exploitation by a peacekeeper at UNIFIL
UNIOGBIS	26 May 2017	Investigation report on the sexual abuse of two minors by a staff member at UNIOGBIS
UNISFA	30 December 2016	Investigation report on the solicitation of a bribe by an individual contactor at UNISFA
UNJSPF	23 September 2016	Investigation report on retaliation by a staff member at UNJSPF
	29 September 2016	Investigation report on possible retaliation by a staff member at UNJSPF
	19 December 2016	Investigation report on misrepresentation of educational qualifications and misuse of official access to IMIS by a staff member at UNJSPF
UNMAS	26 August 2016	Investigation report on the analysis of a laptop used by an officer seconded to UNMAS
UNMIK	21 October 2016	Investigation report on unauthorized outside activities by a staff member at UNMIK
	19 December 2016	Investigation report on the possession and importation of controlled drugs by a staff member at UNMIK
	30 December 2016	Investigation report on outside activities by a staff member at UNMIK
	31 May 2017	Investigation report on the improper disclosure of confidential information by a United Nations police officer at UNMIK
	31 May 2017	Investigation report on the improper disclosure of confidential information at UNMIK
UNMIL	11 July 2016	Contingent report on the assault of a minor by peacekeepers at UNMIL
	28 September 2016	Investigation report on fuel theft by a staff member at UNMIL
	28 September 2016	Investigation report on the facilitation of the attempted payment of bribes by a staff member at UNMIL
	19 December 2016	Contingent report on fuel theft by peacekeepers at UNMIL

Entity	*Issue date*	*Report subject*
	5 April 2017	Contingent report on possible misconduct by a peacekeeper at UNMIL
	30 June 2017	Contingent report on misconduct by a peacekeeper at UNMIL
UNMISS	25 July 2016	Investigation report on medical insurance fraud by a former staff member at UNMISS
	26 August 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	29 August 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	29 August 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	29 August 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	21 September 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	21 September 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	21 September 2016	Investigation report on medical insurance fraud by a staff member at UNMISS
	28 September 2016	Investigation report on forgery of education and employment certificates by a staff member at UNMISS
	13 April 2017	Investigation report on sexual exploitation and abuse by a staff member at UNMISS
	30 June 2017	Investigation report on leave entitlement fraud by a staff member at UNMISS
	30 June 2017	Investigation report on non-compliance with a mission-issued administrative instruction by a staff member at UNMISS
UNOCI	15 July 2016	Investigation report on possible misconduct by a UNV at UNOCI
	19 July 2016	Contingent report on sexual exploitation by peacekeepers at UNOCI
	26 July 2016	Investigation report on sexual abuse and physical assault by a military observer at UNOCI
	12 August 2016	Contingent report on sexual exploitation by peacekeepers at UNOCI
	29 September 2016	Investigation report on sexual abuse and physical assault by a military observer at UNOCI

Entity	*Issue date*	*Report subject*
	30 January 2017	Contingent report on sexual abuse by a peacekeeper at UNOCI
	29 March 2017	Investigation report on conflict of interest by a staff member at UNOCI
	26 May 2017	Investigation report on the sexual abuse of a minor by a staff member at UNOCI
	26 May 2017	Investigation report on the failure of a staff member at UNOCI
UNOG	28 September 2016	Investigation report on medical claim fraud by a staff member at UNOG
UNON	25 July 2016	Investigation report on destruction of official records and gross negligence by a staff member at UNON
	25 July 2016	Investigation report on gross mismanagement by a staff member at UNON
	21 October 2016	Investigation report on unauthorized outside activity by a staff member at UNON
	30 December 2016	Investigation report on misconduct by an employee of a contractor to UNON
	30 January 2017	Investigation report on misconduct by a former staff member at UNON
	30 June 2017	Investigation report on misconduct by a staff member at UNON
UNSCO	14 June 2017	Investigation report on the smuggling of gold and other contraband by a staff member at UNSCO
UNSOM	30 January 2017	Investigation report on contingent-owned equipment reimbursements at UNSOM
UNTSO	26 May 2017	Investigation report on sexual exploitation and abuse and blackmail by a staff member at UNTSO

D. Closure reports issued by the Investigations Division

Entity	*Issue date*	*Closure report subject*
DFS	20 September 2016	Alleged procurement irregularities by a staff member in DFS
DGACM	30 January 2017	Possible misconduct by a staff member in Office of the President of the General Assembly
DM	21 September 2016	Fraudulent letter issued by an unknown person in the Procurement Division

Entity	*Issue date*	*Closure report subject*
MINURSO	30 November 2016	Possible serious assault of a staff member at MINURSO
MINUSCA	31 May 2017	Reported excessive use of force by a staff member at MINUSCA
MINUSTAH	20 December 2016	Allegations of the waste of resources by a staff member at MINUSTAH
	23 February 2017	Sexual exploitation and abuse at MINUSTAH
MONUSCO	29 September 2016	Child trafficking at MONUSCO
	28 June 2017	Sexual exploitation and abuse at MONUSCO
UNAMA	26 May 2017	Allegations of possible misconduct by a staff member at UNAMA
UNAMI	7 July 2016	Recruitment irregularities by a staff member at UNAMI
	14 November 2016	Possible misconduct by a staff member at UNAMI
	27 January 2017	Allegations of rape and sexual assault by a staff member at UNAMI
	27 January 2017	Improper cancellation of a fuel contract at UNAMI
UNCTAD	23 February 2017	Organizing United Nations career preparation training for young professionals by an individual contracted by UNCTAD
UNISFA	19 September 2016	Allegation of irregular disbursement of funds at UNISFA
	20 December 2016	Alleged procurement irregularities at UNISFA
	27 March 2017	Alleged recruitment irregularities at UNISFA
UNJSPF	20 September 2016	Fraud and mismanagement by a staff member at UNJSPF
	17 October 2016	Mismanagement in UNJSPF
UNMIK	7 July 2016	Possible misconduct by a staff member at UNMIK
UNMIL	7 July 2016	Falsification of credentials and irregular dispensing of medicines by a staff member at UNMIL
UNMISS	20 September 2016	Possible sexual exploitation and abuse by a staff member at UNMISS
	23 February 2017	Bribery at UNMISS
UNON	27 March 2017	Alleged misconduct by a staff member at UNON
UNSMIL	16 August 2016	Sexual exploitation by a staff member at UNSMIL
	19 September 2016	Sexual exploitation by a staff member at UNSMIL

Entity	*Issue date*	*Closure report subject*
	20 September 2016	Misconduct by a staff member at UNSMIL
	20 September 2016	Sexual exploitation by a UNV at UNSMIL
	20 September 2016	Sexual exploitation by a staff member at UNSMIL

E. Reports issued to programme managers by the Inspection and Evaluation Division

Entity	*Issue date*	*Report subject*
DPKO	29 September 2016	Evaluation of the results of national police capacity-building in Haiti, Côte d'Ivoire and the Democratic Republic of the Congo by United Nations police in MINUSTAH, UNOCI and MONUSCO
	6 October 2016	Evaluation of the integration of peacekeeping operations and United Nations country teams in Haiti, Côte d'Ivoire and the Democratic Republic of the Congo
DPKO/DFS	7 April 2017	Evaluation of DPKO/DFS planning during the force generation process and related engagement with the Security Council and troop-contributing countries
United Nations Secretariat	19 June 2017	United Nations Secretariat evaluation scorecards for the biennium 2014-2015